

1 **BEFORE THE DIRECTOR OF THE DEPARTMENT OF**
2 **CONSUMER AND BUSINESS SERVICES**
3 **DIVISION OF FINANCE AND CORPORATE SECURITIES OF THE**
 STATE OF OREGON

4 In the Matter of:

5 **DATA TECHNOLOGY GROUP, LAS**
6 **VEGAS 220 SMR, LEON**
7 **SWICKOW, DEAN LUCAS, and**
8 **RICHARD J ALLEN,**

9 Respondents.

No. A-01-0052

FINAL ORDER TO CEASE AND
 DESIST, DENYING EXEMPTIONS,
 AND ASSESSING CIVIL PENALTIES
 ENTERED BY DEFAULT AGAINST
 DATA TECHNOLOGY GROUP, LAS
 VEGAS 220 SMR, LEON
 SWICKOW, DEAN LUCAS, and
 RICHARD J ALLEN

10
11 **WHEREAS**, on November 9, 2001 the Director of the Department of
12 Consumer and Business Services for the State of Oregon (hereafter the "Director"),
13 acting by and pursuant to the authority of Oregon Securities Law, Chapter 59 of the
14 Oregon Revised Statutes (hereinafter cited as ORS Chapter 59), and other
15 applicable authority issued Administrative Order No. A-01-0052 to **CEASE AND**
16 **DESIST, DENYING EXEMPTIONS, AND ASSESSING CIVIL PENALTIES** and
17 **NOTICE OF RIGHT TO CONTESTED CASE HEARING** against Respondents
18 **DATA TECHNOLOGY GROUP, LAS VEGAS 220 SMR, LEON SWICKOW,**
19 **DEAN LUCAS, and RICHARD J ALLEN** (hereafter collectively referred to as
20 "Respondents").

21 **WHEREAS**, on November 9, 2001 Respondent **DATA TECHNOLOGY**
22 **GROUP** was duly served with a true copy of the Order and Notice by United States
23 Mail, postage prepaid, and addressed to Data Technology Group, 9690 W Sample
24 Road #201, Coral Springs, Florida 33069. This mailing was returned to sender,
25 affixed with a stamp stating "addressee unknown."

26 **WHEREAS**, on November 9, 2001 Respondent **DATA TECHNOLOGY**



1 **GROUP** was duly served with a true copy of the Order and Notice by certified
2 United States Mail (Item #7000 1670 0010 4303 1142), postage prepaid, and
3 addressed to Data Technology Group, 9690 W Sample Road #201, Coral Springs,
4 Florida 33069. This mailing was returned to sender, affixed with a stamp stating
5 “addressee unknown.”

6 **WHEREAS**, on November 9, 2001 Respondent **LAS VEGAS 220 SMR** was
7 duly served with a true copy of the Order and Notice by United States Mail, postage
8 prepaid, and addressed to Las Vegas 220 SMR, 7330 Edna Avenue, Las Vegas,
9 Nevada 89117. This mailing was returned to sender, affixed with a stamp stating
10 “attempted not known.”

11 **WHEREAS**, on November 9, 2001 Respondent **LAS VEGAS 220 SMR** was
12 duly served with a true copy of the Order and Notice by certified United States Mail
13 (Item #7000 1670 0010 4303 1166), postage prepaid, and addressed to Las Vegas
14 220 SMR, 7330 Edna Avenue, Las Vegas, Nevada 89117. This mailing was
15 returned to sender, affixed with a stamp stating “unclaimed.”

16 **WHEREAS**, on November 9, 2001 Respondent **LEON SWICKOW** was duly
17 served with a true copy of the Order and Notice by United States Mail, postage
18 prepaid, and addressed to Leon Swickow, 9690 W Sample Road #201, Coral
19 Springs, Florida 33069. This mailing was returned to sender, affixed with a stamp
20 stating “addressee unknown.”

21 **WHEREAS**, on November 9, 2001 Respondent **LEON SWICKOW** was duly
22 served with a true copy of the Order and Notice by certified United States Mail (Item
23 #7000 1670 0010 4303 1173), postage prepaid, and addressed to Leon Swickow,
24 9690 W Sample Road #201, Coral Springs, Florida 33069. This mailing was returned
25 to sender, affixed with a stamp stating “addressee unknown.”

26 **WHEREAS**, on November 9, 2001 Respondent **DEAN LUCAS** was duly

Division of Finance and Corporate Securities
Lawrence, Oregon 97138
510 Winter Street, NE, Suite 410
Salem, OR 97310
Telephone: (503) 378-4387



1 served with a true copy of the Order and Notice by United States Mail, postage
2 prepaid, and addressed to Dean Lucas, 9690 W Sample Road #201, Coral Springs,
3 Florida 33069. This mailing was returned to sender, affixed with a stamp stating
4 "addressee unknown."

5 **WHEREAS**, on November 9, 2001 Respondent **DEAN LUCAS** was duly
6 served with a true copy of the Order and Notice by certified United States Mail (Item
7 #7000 1670 0010 4303 1180), postage prepaid, and addressed to Dean Lucas,
8 9690 W Sample Road #201, Coral Springs, Florida 33069. This mailing was
9 returned to sender, affixed with a stamp stating "addressee unknown."

10 **WHEREAS**, on November 9, 2001 Respondent **RICHARD J ALLEN** was
11 duly served with a true copy of the Order and Notice by United States Mail, postage
12 prepaid, and addressed to Richard J Allen, 7330 Edna Avenue, Las Vegas, Nevada
13 89117. This mailing was returned to sender, affixed with a stamp stating "attempted
14 not known."

15 **WHEREAS**, on November 9, 2001 Respondent **RICHARD J ALLEN** was
16 duly served with a true copy of the Order and Notice by certified United States Mail
17 (Item #7000 1670 0010 4303 1159), postage prepaid, and addressed to Richard J
18 Allen, 7330 Edna Avenue, Las Vegas, Nevada 89117. This mailing was returned to
19 sender, affixed with a stamp stating "unclaimed."

20 **NOW THEREFORE**, after consideration of the Investigative Memorandum
21 and accompanying exhibits submitted in this matter by David T. Weiss, Securities
22 Enforcement Officer, the Director hereby issues the following Findings of Fact,
23 Conclusions of Law, and Final Order:

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26 **FINDINGS OF FACT**



1 The Director **FINDS** that:

2
3 1. Respondent **DATA TECHNOLOGY GROUP** was, at all times
4 material herein, a for profit corporation organized under the laws of the State of
5 Florida. Data Technology Group's last known address is 9690 W. Sample Rd
6 #201, Coral Springs, Florida 33069.

7 2. Respondent **LAS VEGAS 220 SMR** was, at all times material
8 herein, a partnership organized under the laws of the State of Nevada. Las
9 Vegas 220 SMR's last known address is 7330 Edna Avenue, Las Vegas,
10 Nevada 89117.

11 3. Respondent **LEON SWICKOW** was, at all times material herein,
12 the President of Respondent **DATA TECHNOLOGY GROUP**. His last known
13 address is 9690 W. Sample Rd #201, Coral Springs, Florida 33069.

14 4. Respondent **DEAN LUCAS** was, at all times material herein, a
15 sales representative employed by Respondent **DATA TECHNOLOGY GROUP**.
16 His last known address is 9690 W. Sample Rd #201, Coral Springs, Florida
17 33069.

18 5. Respondent **RICHARD J ALLEN** was, at all times material herein,
19 the managing partner of Respondent **LAS VEGAS 220 SMR**. His last known
20 address is 7330 Edna Avenue, Las Vegas, Nevada 89117.

21 6. Gary Gerads was, at all times material herein, a resident of the
22 State of Oregon. Gerads was employed as a truck driver by Albertson's.

23 7. By mid 1995 Gerads had accumulated almost twenty four thousand
24 dollars (\$24,000) in an Individual Retirement Account (IRA). Gerads was not
25 satisfied with his account's returns, and was receptive to possible changes in
26 investment strategy.

